

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box. 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

021323

7590

06/08/2004

TESTA, HURWITZ & THIBEAULT, LLP HIGH STREET TOWER 125 HIGH STREET BOSTON, MA 02110

| EXAMINER | | | | | |
|--------------------|--------------|--|--|--|--|
| MERTZ, PREMA MARIA | | | | | |
| ART UNIT | PAPER NUMBER | | | | |

DATE MAILED: 06/08/2004

1646

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/005,212 | 12/04/2001 | Stephen D. Gillies | LEX-002C1 | 6802 |

TITLE OF INVENTION: HETERODIMERIC FUSION PROTEINS USEFUL FOR TARGETED IMMUNE THERAPY AND GENERAL IMMUNE STIMULATION

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|-----------|-----------------|------------------|------------|
| nonprovisional | NO | \$1330 | \$300 | \$1630 | 09/08/2004 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

- A. If the status is the same, pay the TOTAL FEE(S) DUE shown
- B. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.
- Applicant claims SMALL ENTITY status. See 37 CFR 1.27.
- II. PART B FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.
- III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 (703) 746-4000

or Fax

| appropriate. All further con | rm should be used for trans rrespondence including the P below or directed otherwise ns. | atent, advance orde | ers and notification | of maintenance fees | will be mailed to the current | correspondence address as |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|
| CURRENT CORRESPONDENCE | CE ADDRESS (Note; Legibly mark-up 06/08/2004 | with any corrections or u | se Block 1) | papers. Each addition | f mailing can only be used finis certificate cannot be used al paper, such as an assignment of mailing or transmission. | or domestic mailings of the for any other accompanying ent or formal drawing, must |
| | ITZ & THIBEAULT, OWER T | LLP | | Ce I hereby certify that t States Postal Service | rtificate of Mailing or Tran. his Fee(s) Transmittal is bein with sufficient postage for fin il Stop ISSUE FEE address PTO, on the date indicated be | g deposited with the United |
| , | , | | | | | (Depositor's name) |
| | | | | | - | (Signature) |
| | | | | | | (Date) |
| APPLICATION NO. | FILING DATE | F | IRST NAMED INVEN | TOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
| 10/005,212 | 12/04/2001 | | Stephen D. Gillie | s | LEX-002C1 | 6802 |
| APPLN, TYPE | SMALL ENTITY | ISSUE FEI | E P(| UBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE |
| nonprovisional | NO | \$1330 | | \$300 | \$1630 | 09/08/2004 |
| EXAM | MINER | ART UNIT | r CI | LASS-SUBCLASS | | |
| MERTZ, PR | EMA MARIA | 1646 | | 536-023500 | | |
| Address form PTO/SB/1 "Fee Address" indicate PTO/SB/47; Rev 03-02 Number is required. 3. ASSIGNEE NAME AND PLEASE NOTE: Unless | ion (or "Fee Address" Indicati or more recent) attached. Use D RESIDENCE DATA TO BI an assignee is identified beled to the USPTO or is being so | on form of a Customer E PRINTED ON The own, no assignee dat ubmitted under sepa | agents OR, altern firm (having as a agent) and the na attorneys or agen will be printed. HE PATENT (print of the will appear on the arate cover. Complete | patent. Inclusion of a | of a single attorney or 2 tered patent d, no name 3 ssignce data is only appropri T a substitute for filing an ass | ate when an assignment has ignment. |
| Please check the appropriate | e assignee category or categor | ies (will not be prin | nted on the patent); | 🗖 individual 🚨 | corporation or other private g | roup entity 🚨 government |
| 4a. The following fee(s) are | enclosed: | | Payment of Fee(s): | 64 6 6 6 6 | .11 | |
| ☐ Issue Fee ☐ Publication Fee | | | | ount of the fee(s) is en | | |
| □ Publication Fee □ Payment by credit card. Form PTO-2038 is attached. □ Advance Order - # of Copies □ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, Deposit Account Number (enclose an extra copy of this form). | | | | | | |
| Director for Patents is reque | ested to apply the Issue Fcc an | d Publication Fee (| if any) or to re-apply | any previously paid | issue fee to the application ide | entified above. |
| (Authorized Signature) | | (Date) | · · · · · · · · · · · · · · · · · · · | | | _ _ |
| other than the applicant; interest as shown by the re This collection of inform obtain or retain a benefit application. Confidentiality | d Publication Fee (if require a registered attorney or age cords of the United States Paration is required by 37 CFR by the public which is to filly is governed by 35 U.S.C. It tests to complete, including garm to the USPTO. Time will the amount of time you rethis burden, should be sent to Office, U.S. Department of the Patents Alexandria Virus | nt; or the assigned tent and Trademark 1.311. The informate e (and by the USP 22 and 37 CFR 1.14 | or other party in Office. ation is required to PTO to process) an 4. This collection is | | | |
| 22313-1450. DO NOT | SEND FEES OR COMPLET | TED FORMS TO | THIS ADDRESS. | ! | | |

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|------------------------------------------------------|-----------------|----------------------|---------------------|------------------|
| 10/005,212 | 12/04/2001 | Stephen D. Gillies | LEX-002C1 | 6802 |
| 021323 7 | 7590 06/08/2004 | | EXAM | INER |
| TESTA, HURWITZ & THIBEAULT, LLP HIGH STREET TOWER | | MERTZ, PREMA MARIA | | |
| 125 HIGH STREE | | | ART UNIT | PAPER NUMBER |
| BOSTON, MA 02 | 110 | | 1646 | |
| | | | | |

DATE MAILED: 06/08/2004

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 274 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 274 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) system (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (703) 305-1383. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.